



Attorney Docket: 951/49710
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andreas SCHUHBAECK Confirmation No.: 8053
Serial No. : 09/837,610 Group Art Unit : 2839
Filed : April 19, 2001 Examiner : Nguyen, Khiem M.
Title: ARRANGEMENT OF SEVERAL FERRULES FOR OPTICAL WAVE
GUIDES AND PROCESS OF MANUFACTURING A BELT OF
PLASTIC FERRULES

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97 and 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This supplements the Information Disclosure Statement submitted in the above-identified application on March 8, 2002.

In accordance with the duty of disclosure under 37 CFR §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

An English abstract of the German patents are submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action or Notice of Allowance

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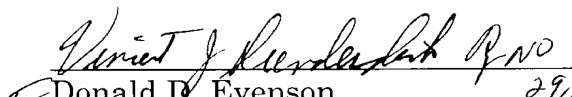
(whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement under 37 CFR §1.97(e). No fee under 37 CFR §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, the paper should be considered as a petition for consideration of the Information Disclosure Statement under 37 C.F.R. §1.97(d)(2) and that the petition fee set forth in 37 C.F.R. §1.17(i) in accordance with 37 C.F.R. §1.97(d)(3) should be charged to Deposit Account No. 05-1323 (Docket No. 951/49710).

Respectfully submitted,

November 12, 2003


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STATEMENT UNDER 37 CFR § 1.97(e)(1)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

November 12, 2003

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FORM PTO-1449

U.S. Department of Commerce
Patent & Trademark OfficeAttorney Docket No.
951/49710Serial No.
09/837,610

Applicant: Andreas SCHUHBAECK

Filing Date
April 19, 2001Group
2839**INFORMATION DISCLOSURE
STATEMENT**
(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Classification	Filing Date (if appropriate)
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Classification	TRANSLATION	
						Yes	No
	AK	38 09 037 A1	09/28/1989	Germany	G 02 B 6/38	Abstract	
	AL	38 09 038 A1	09/28/1989	Germany	G 02 B 6/36	Abstract	
	AM						
	AN						
	AO						
	AP						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	AQ	German Search Report dated August 11, 2003.
	AR	
	AS	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.